# BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATE PATENT AND TRADEMARK OFFICE RECEIVED

JUL 2 6 2004

### LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

**Technology Center 2600** 

Duan Wu is hereby given limited recognition under 37 CFR 10.9(b) as an employee of Testa, Hurwitz & Thibeault LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Testa, Hurwitz & Thibeault LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Testa, Hurwitz & Thibeault LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Duan Wu ceases to lawfully reside in the United States, (ii) Duan Wu's employment Testa, Hurwitz & Thibeault LLP ceases or is terminated, or (iii) Duan Wu ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: April 16, 2005

Harry I. Moatz

Director of Enrollment and Discipline



PATENT Atty. Docket No. INK-067

7307

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

**APPLICANTS** 

Wilcox et al.

**CONFIRMATION NO.:** 

JUL 2 6 2004

SERIAL NUMBER:

09/464,264

ART UNIT:

2623 Technology Center 2600

FILING DATE:

December 17, 1999

**EXAMINER:** 

Dastouri, M.

TITLE:

Electronic Ink Display Media for Security and Authentication

#### CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on this 19<sup>th</sup> day of July, 2004.

Diane Racicot

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### Submitted herewith is/are:

- 1. Transmittal Form (1 pg.);
- 2. Fee Transmittal Form (1 pg.);
- 3. A check in the amount of \$55.00;
- 4. Petition of Extension of Time (1 pg);
- 5. Amendment and Response (12 pgs.);
- 6. Copy of Limited Recognition (1 pg); and a
- 7. Return Receipt Postcard.

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	AIPE .					
			Reexam Con	ntrol Number	09/46	4,264
TRANSMITTAL			Reexam Filing Date		December 17, 1999	
			First Named	First Named Inventor		ox
			Group Art U	Group Art Unit		RECEIVED
			Examiner Name		Dastouri, M.	
	FORM		Attorney Do	ocket No.	INK-(	JUL 2 6 2004
ı			Confirmation	n No.	7307	Technology Center 25
			Issue Date		Not a	pplicable
-		ENG	CLOSURES (c	heck all that apply)		
⊠ Fe	e Transmittal Form		Copy of Notice	e to File Missing		Notice of Appeal to Board
	☐ Check Attached		Parts of Applic	cation (PTO-1553)		of Patent Appeals and Interferences
	Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)
	Amendment & Response (12 pgs) Preliminary After Decision on Appeal	Request For Continued Examination (RCE) Transmittal				Status Inquiry
					☒	Return Receipt Postcard
	☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson	Associate Power of Attorney		⊠	Certificate of First Class Mailing under 37 C.F.R. 1.8	
	including Drawings [Total Sheets]		Terminal Disc	laimer		Certificate of Facsimile Transmission under 37 C.F.R. 1.8
⊠	Petition for Extension of Time			aration and Power r Utility or Design ation	⊠	Additional Enclosure(s) (please identify below)
	Information Disclosure Statement Form PTO-1449 Copies of IDS Citations	Small Entity Statement		⊠ c	Copy of Limited Recognition (1 pg)	
			CD(s) for large table or computer program			
	Certified Copy of Priority	🗆	Amendment A	fter Allowance		
	Document(s)		Request for Co Correction Certificate duplicate)	ertificate of of Correction (in		
CORR	ESPONDENCE ADDRESS	<u> </u>		SIGNATURE BL	оск	
Direct all correspondence to:  Patent Administrator Testa, Hurwitz & Thibeault, LLF High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100				Respectfully submitted,		
			7000	Date: July 19, 2004 Reg. No. (Limited R Tel. No.: (617) 248 Fax No.: (617) 248 PTO Customer No	-7808 -7100	n) Duan Wu Attorney for Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110



	Complete if Known	
Application Serial Number	09/464,264	RECEIVED
Receipt Date	December 17, 1999	TIEGENED
First Named Inventor	Wilcox	JUL 2 6 2004
Group Art Unit	2623	JOL 2 0 2004
Confirmation No.	7307	0-100
Examiner Name	Dastouri, M.	Technology Center 260
Attorney Docket No.	INK-067	

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. A Payment Enclosed:	3. ADDITIONAL FEES				
Check Money Order Other	Large	Small			
L Chicae C Mana, cross C Care	Entity	Entity			
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description	Fee Paid	
or charge any fee indicated below for this submission	<b>(S)</b>	(\$)	•		
to Deposit Account No. 20-0531.	(4)	(.,			
Required Fees (copy of this sheet enclosed).	130	65	Surcharge - late filing fee or oath		
	50	25	Surcharge - late provisional filing fee		
Additional fee required under 37 CFR 1.16 and	30	23	or cover sheet		
1.17.	120	120			
Overpayment Credit.	130	130	Non-English specification		
3. Applicant claims small entity status.	2,520	2,520	Request for ex parte reexamination	** **	
FEE CALCULATION	110	55	Extension for reply within first month	55.00	
1. FILING FEE	420	210	Extension for reply within second		
	0.50	47.5	month		
Large Entity	950	475	Extension for reply within third month		
Fee (S) Fee Description Fee Paid	1480	740	Extension for reply within fourth		
	2010	1005	month		
	2010	1005	Extension for reply within fifth month		
770 Utility filing fee	330	165	Notice of Appeal		
340 Design filing fee	330	165	Filing a brief in support of an appeal		
160 Provisional filing fee	290	145	Request for oral hearing Petitions to the Commissioner		
	130	130			
	180	180	Submission of Information Disclosure		
Number Number Rate Amount	770	385	Statement Filing a submission after final		
Filed Extra	770	383	rejection (37 CFR 1.129(a))		
Total Claims - 20 = x \$ 18.00 =	770	385	For each additional invention to be		
1			examined (37 CFR 1.129(b))		
Independent	100	100	Certificate of Correction for		
Ctaims $-3 = x $86.00 =$			applicant's error		
	110	55	Submission of Terminal Disclaimer		
Multiple Dependent Claim(s), if any \$290.00 =	Other fee (Sp				
	Other fee (Sp	ecity)			
TOTAL:	·				
SMALL ENTITY DISCOUNT:					
SUBTOTAL (1) (\$) 0.00					
2. AMENDMENT CLAIM FEES			CUPTOTAL (2)	66.00	
Claims Highest No. Present Rate Fee Paid	SUBTOTAL (3) (\$) 55.00				
Remaining Previously Extra					
After Amend. Paid For					
Total $-20 = x \$ 18.00 =$			SUBTOTAL (1)	0.00	
Indep. $-3 = 0 \times 86.00 =$			SUBTOTAL (2)	0.00	
First Presentation of Multiple Dep. + \$290.00 =	1		SUBTOTAL (3)	55.00	
Claim			• •		
TOTAL: (\$)					
SMALL ENTITY DISCOUNT: (\$)					
SUBTOTAL (2) (\$)			TOTAL (\$)	55.00	
			CICNIATUDE DI OCI		
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK				
Direct all correspondence to:			Respectfully submitted,		
Patent Administrator	Date: July 19, 2004				
Testa, Hurwitz & Thibeault, LLP	Reg. No.: Limited Recognition Duan Wu				
High Street Tower-125 High Street	Tel. No.: (617) 248-7808 Attorney for the Applicants				
Boston, MA 02110	Fax No.: (617) 248-7100 Testa, Hurwitz & Thibeault, LLP				
Tel. No.: (617) 248-7000	110 (0.	. 1 2 70-110	High Street Tower-125 High		
Fax No.: (617) 248-7100	PTO Customer No. 021323 Boston, MA 02110				
	1 10 Customer 110, 021525 Duston, WAY 02110				